**Conflict of Interest in Research and Development**

**Attachment to (*Insert COI Individual’s Name*) (Submitter/Employee) COI Form**

**Proposed Management Plan for Exemption**

This COI Management Plan is part of the Submitter’s COI Form submission to the University, so as not to:

1. Give improper advantage to the entity with whom the employee has a relationship;
2. Lead to misuse of institution students or employees for the benefit of such entities;
3. Otherwise interfere with the duties and responsibilities of the official, faculty member, or other employee maintaining a relationship;
4. Be so influential as to impair impartiality in conducting research, interpreting research results, or determining research or other professional and employment priorities; or
5. Otherwise constitute a harmful interest or violate state or federal policies or procedures, or the best interests of the University.
6. **COI Submitter Shall:**
7. Follow all University Policies and Procedures, including Policy on Professional Commitment of Faculty; Policy and Procedures on Outside Consultancy; Intellectual Property Policies; Policy of Conflict of Interest in Research and Development; and Procedures on Conflict of Interest.
8. Comply with the information and statements as provided in the Conflict of Interest Form Submitted.
9. Comply with all COI reporting requirements for Contracts and Grants.
10. Notify students/Post-Docs doing R&D under Submitter’s supervision of company Relationship 1.
11. **COI Submitter Shall Not:**
12. Serve as a Principal Investigator on behalf of Morgan in a Research and Development project involving a company in which the Submitter has an ownership position 2.
13. Supervise Morgan students and Post-Docs participating in work being performed on behalf of a company in which the Submitter has a Relationship 1.
14. Utilize University Intellectual Property for work on behalf of a company in which the Submitter has a Relationship,1 without an existing License (or License Option) Agreement in place.
15. **Other Provisions:**
16. For Contracts and Grants where Morgan is receiving funding for a R&D project involving a company in which the Submitter holds an equity interest, such as an SBIR sub-award, the R&D project may be undertaken by another faculty member, department or unit at Morgan.

*(Insert Additional Mitigation Principles and Actions under A, B, and C above, as needed on a case-by-case basis, to manage a specific COI.)*

It should be understood that the management plan implemented for this case is unique to this case and does not imply that a similar plan will apply in future conflict of interest cases. The COIC decides each case on its own merits which include, among other things, considerations of the nature of the research, the potential risk to the University, and the benefits to public welfare.