

FACULTY DEVELOPMENT SEMINARS 2022

WEEK 2 – PART 1

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Morgan State University**

OUTLINE

- **Gifts vs. Sponsored Projects**
- **Types of Sponsored Projects**
 - Grants
 - Cooperative agreements
 - Contracts
- **The Risks of Sponsored Projects**
- **MSU Research personnel**
 - PI
 - Key personnel
 - Other personnel
- **External Entities**
 - Subaward recipients
 - Vendors
 - Consultants

**WHAT IS THE
DIFFERENCE
BETWEEN A
SPONSORED PROJECT
AND A GIFT?**

SPONSORED PROJECTS VS. GIFTS

- **Sponsored project characteristics:**
 - **Restriction of the use of funds to certain activities**
 - **Requirement for programmatic or technical report**
 - **Requirement for fiscal report and/or audit**
 - **Provision of disposition of intangible property, such as patents, copyrights, inventions, or licenses that may result from the activity.**
- **Gifts usually do not have those strings attached.**

**WHAT ARE THE THREE
MAIN TYPES OF
SPONSORED
PROJECTS?**

TYPES OF SPONSORED PROJECTS

- **Grants**
 - Assistance, freedom to act
 - For public good
- **Cooperative agreements**
 - Assistance, more involvement from the sponsor
 - For public good
- **Contracts**
 - Acquisition of goods or services
 - For the benefit of the sponsor
 - On-time, to the specifications



CONTRACT

COMPLEXITY AND STRINGS ATTACHED

Contracts

**Cooperative
Agreements**

Grants

Gifts

**WHO ARE THE PEOPLE
RUNNING THE
PROJECT?**

MSU PERSONNEL

- **Principal Investigator (PI)**
 - Leading the project
 - Responsible for many aspects of the project
 - Almost always named
- **Other key personnel**
 - Adds to expertise and necessary for the project
 - Named
- **Other personnel**
 - Has a role but less crucial or unique
 - Could be TBD

EXTERNAL TO MSU

■ Consultants

- Representing themselves
- Given to the individual
- Note: limited to individuals outside MSU

■ Subaward recipients

- Representing their institution
- Given to the institution
- Major involvement in design and decisions

■ Vendors

- Purchasing a good or service
- No major involvement in decisions
- Usually provide similar services to others as well

WHO CAN SERVE AS THE PI?

- MSU guideline is that the PI must be a tenured or tenure track faculty member, a senior staff, or an administrative officer of the University.
- The PI must have substantial expertise and experience in the field, and must be able to run the project according to the terms and conditions of the award.

MORE THAN ONE PI?

- **Some sponsors allow that.**
- **There should be a good reason.**
- **There must be a plan for conflict resolution.**
- **Often only one is the contact person for with the sponsor.**

CAN THE PI SUBMIT THE PROPOSAL DIRECTLY TO THE SPONSOR?

- **No, not on behalf of MSU.**
- **Some sponsors (e.g., NIH, NSF, DoD) simply do not allow that.**
- **Even if the sponsor allows, it is against MSU's policy. Any sponsored award has some risks, which need to be evaluated before submission.**
- **Please contact Ms. Ailing Zhang for grant submissions.**

WHY IS THE PI NOT ALLOWED...

- **... to submit or accept** a sponsored award?
 - For the purpose of accounting.
 - Numbers
 - Budget
 - Cost allowability
 - Indirect cost rate
 - Sponsored awards, while great, carry some risks as well. This is especially true for contracts.

**WHAT IS RISKY
ABOUT SPONSORED
PROJECTS?**

RISKS

- **Accepting funds from a sponsor with a poor reputation**
- **Using funds for purposes other than those permitted by the sponsor**
- **Conflicts of interest**
- **Fraud and embezzlement of funds**
- **Failure to comply with standards of ethical research in humans or animal welfare**
- **Lack of consideration of safety standards when working with hazardous materials**
- **Fabrication, falsification, or plagiarism when conducting research**
- **...**

POTENTIAL RESTRICTIONS IN CONTRACTS

- Sometimes contracts have clauses that restrict the faculty members from:
 - Publishing the results
 - Presenting the results in seminars
 - Sharing the results with any non-US citizen
 -
- One must be careful when accepting contracts.

MCQ 1

Which two are considered assistance, as opposed to acquisition, mechanisms?

- a. Grants, cooperative agreements
- b. Grants, contracts
- c. Cooperative agreements, contracts
- d. Grants, interagency personnel agreements

MCQ 2

“A financial assistance mechanism providing support to an eligible entity to carry out an approved project or activity, without substantial programmatic involvement from the sponsor” refers to a(an):

- a. Grant
- b. Cooperative agreement
- c. Contract
- d. Interagency personnel agreement

MCQ 3

Cooperative agreements are:

- a.** Agreements between two or more universities to conduct research
- b.** Agreements between universities and pharmaceutical companies to produce medications
- c.** Government funding mechanisms in which there is substantial involvement from the sponsor
- d.** Grants with multiple PIs, working closely together

MCQ 4

The basic purpose of grants is to:

- a.** Provide assistance to advance a public purpose
- b.** Provide assistance for faculty and staff salaries
- c.** Procure goods and services for the federal government
- d.** Procure goods and services for the non-federal entity receiving the grant

MCQ 5

“A mutually binding legal relationship obligating the seller to furnish the supplies or services and the buyer to pay for them” refers to a(an):

- a. Grant
- b. Cooperative agreement
- c. Contract
- d. Interagency personnel agreement

MCQ 6

Which one of more likely to put a restriction on publications?

- a. Federal grants
- b. Foundation grants
- c. Federally funded cooperative agreements
- d. Industry sponsored contracts

SLIDES + VIDEOS (WWW.MORGAN.EDU/ORA)

Home / Office of Research Administration

Office of Research Administration

The Office of Research Administration (ORA), formerly known as the Office of Sponsored Programs and Research, has the primary administrative responsibility to provide needed services and support in the University's efforts to obtain external funding. We serve as the central coordinating unit for the University's externally sponsored research activities and projects. The Office of Research Administration also processes, monitors, and facilitates the evaluation of all sponsored research projects to ensure that all grants and contracts are consistent with institutional goals, policies and procedures.

Some of the functions of ORA and responsible officers include:

Grants Submissions:

Ms. Ailing Zhang

Office of Research Administration

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SLIDES + VIDEOS

Resources

Principal Investigator Handbooks

Five Principal Investigator's Handbooks written to assist Morgan State University faculty and employees with the development of proposals for sponsored projects and management of their awards

Monthly D-RED Seminars

Training Videos and Presentations

Faculty Development Seminars 2022/2023

MSU Faculty and Staff Development Videos and Presentations

Funding Sources

Funding Opportunities Databases and Listings

Successful Proposal and Budget Examples

Pre-Award: Successful Proposal and Budget Examples

Office of Research Administration

About

Pre-Award

Post-Award

Research Compliance

Policies and Procedures

> Resources

Principal Investigator Handbooks

Monthly D-RED Seminars

Faculty Development Seminars 2022/23

Funding Sources



SLIDES + VIDEOS

Faculty Development Seminars 2022/23

Curriculum

Faculty Development Seminars Curriculum

Instructional Materials

Week	Instructor	Slides	Video Link	Additional Materials
1	Farin Kamangar, MD, PhD	FD Seminar 1	FD Seminar 1	



Office of Research Administration

About

Pre-Award

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Principal Investigator
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Monthly D-RED
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> **Faculty Development
Seminars 2022/23**

HOMEWORK

■ Please review:

- PI Handbook, Part 1, Chapter 1
- 4 Pages only
- <https://www.morgan.edu/Documents/ADMINISTRATION/OFFICES/ora/PI/PI%20Handbook%201%20General%20Principles%20SEPT2022.pdf>

The screenshot shows a website navigation menu with the following items:

- Funding Sources
- Events
- Quicklinks
 - Internal Routing Form
 - University Application Information
 - Facilities and Resources
 - Principal Investigator Handbooks** (circled in red)
 - Limited Submission
 - Human Subjects Research
 - Fringe Rate
 - F&A Cost Rates

Below the navigation menu, there is a list of names and their associated roles:

- Ms. Ailing Zhang
- Mr. Matthew Lee
- Ms. Deshun Li
- Dr. Edet Isuk
- Dr. Edet Isuk
- Mr. Olatunde Aladesote
- Ms. Lucy Manyara
- Ms. Shamon Shine-Lee
- Dr. Farin Kamangar
- Ms. Gillian Silver
- Mr. Ryan Mobley
- Ms. Rebecca Steiner
- Ms. Ailing Zhang